

Adding ECN Capability to TCP's SYN/ACK Packets

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[draft-ecm-ecnsyn-00.txt](#)

TCPM

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Purpose:

- Specifies a modification to RFC 3168 to allow TCP SYN/ACK packets to be ECN-Capable.
- Based on the SIGCOMM 2005 paper by A. Kuzmanovic.
- Avoids the retransmit timeout when a SYN/ACK packet would have been dropped.
- If the SYN/ACK packet is ECN-marked, the sender of that packet responds by reducing the initial window to one segment, instead of two to four segments.

More:

- The SYN/ACK packet can be sent as ECN-Capable only in response to an ECN-setup SYN packet.
- The SYN packet still MUST NOT be sent as ECN-Capable.
- The benefit of adding ECN-capability to SYN/ACK packets can be high, particularly for small web transfers.

Security Concerns:

- “Bad” middleboxes that drop ECN-Capable SYN/ACK packets?
 - We don’t know of any.
 - If the first SYN/ACK packet is dropped, the retransmitted SYN/ACK should not be ECN-Capable.
- There is no danger on congestion collapse:
 - Routers are free to drop rather than mark ECN-Capable packets.
 - If the SYN/ACK packet is marked, the sender sends at most one data packet; if that packet is dropped or marked, the sender waits for a retransmit timeout.

Changes in January revision:

- Added a discussion to the Conclusions about adding ECN-capability to relevant set-up packets in **other protocols**. From a suggestion from Wesley Eddy.
- Added a discussion of **one-way data transfers**, where the host sending the SYN/ACK packet sends no data packets.
- Added a description of SYN exchanges with **SYN cookies**. From a suggestion from Wesley Eddy.
 - This needs further clarifications.

Response to an ECN-Marked SYN/ACK Packet?

- Set initial cwnd to one packet:
 - Instead of setting cwnd to 2-4 packets.
 - Continue in congestion avoidance instead of slow-start.

OR

- Wait an RTT before sending a data packet:
 - Proposed by Mark Allman.

The guidelines:

- RFC 3168:

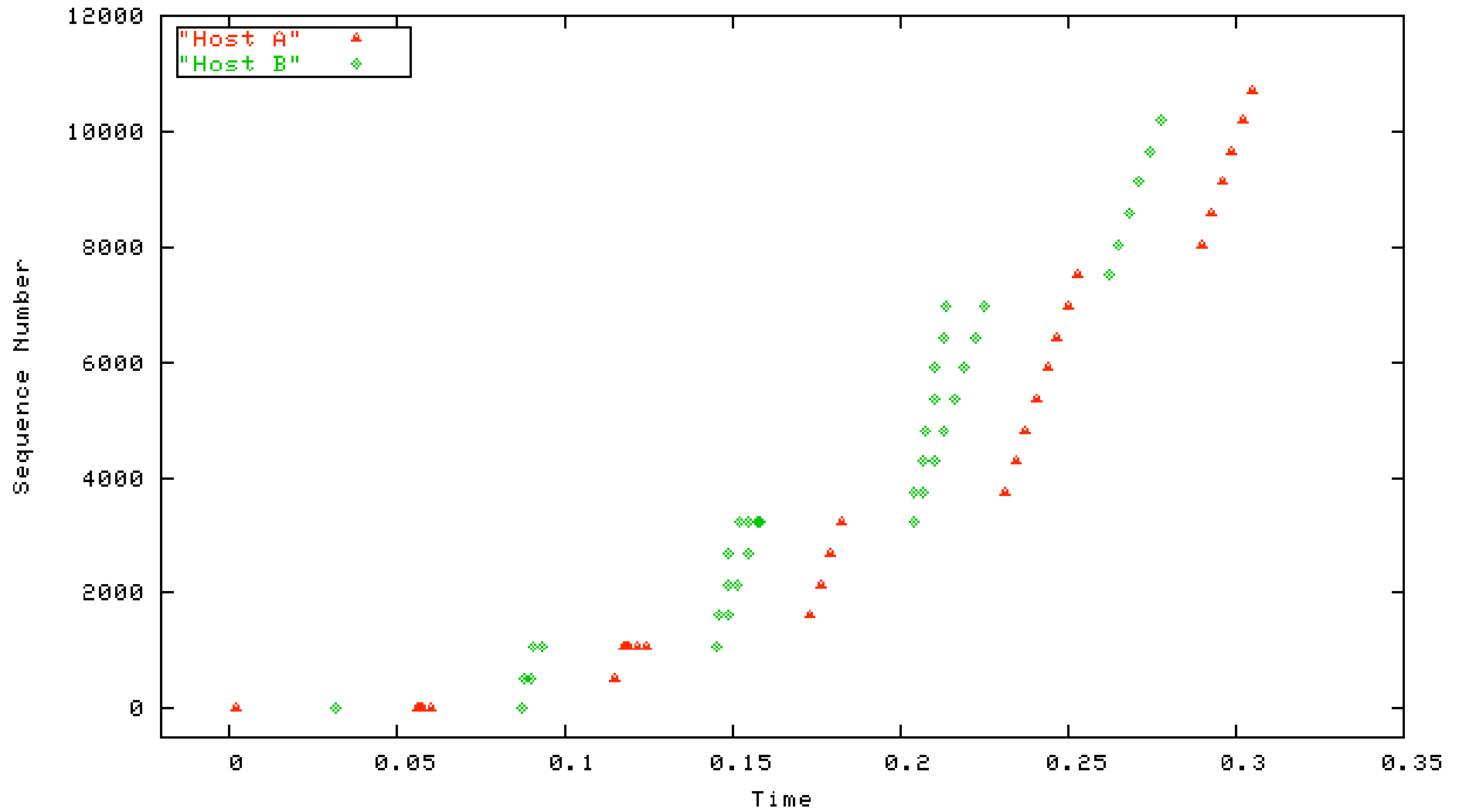
“Upon the receipt by an ECN-Capable transport of a single CE packet, the congestion control algorithms followed at the end-systems **MUST** be essentially the same as the congestion control response to a **single** dropped packet. For example, for ECN-Capable TCP the source TCP is required to halve its congestion window for any window of data containing either a packet drop or an ECN indication.”

- Question:

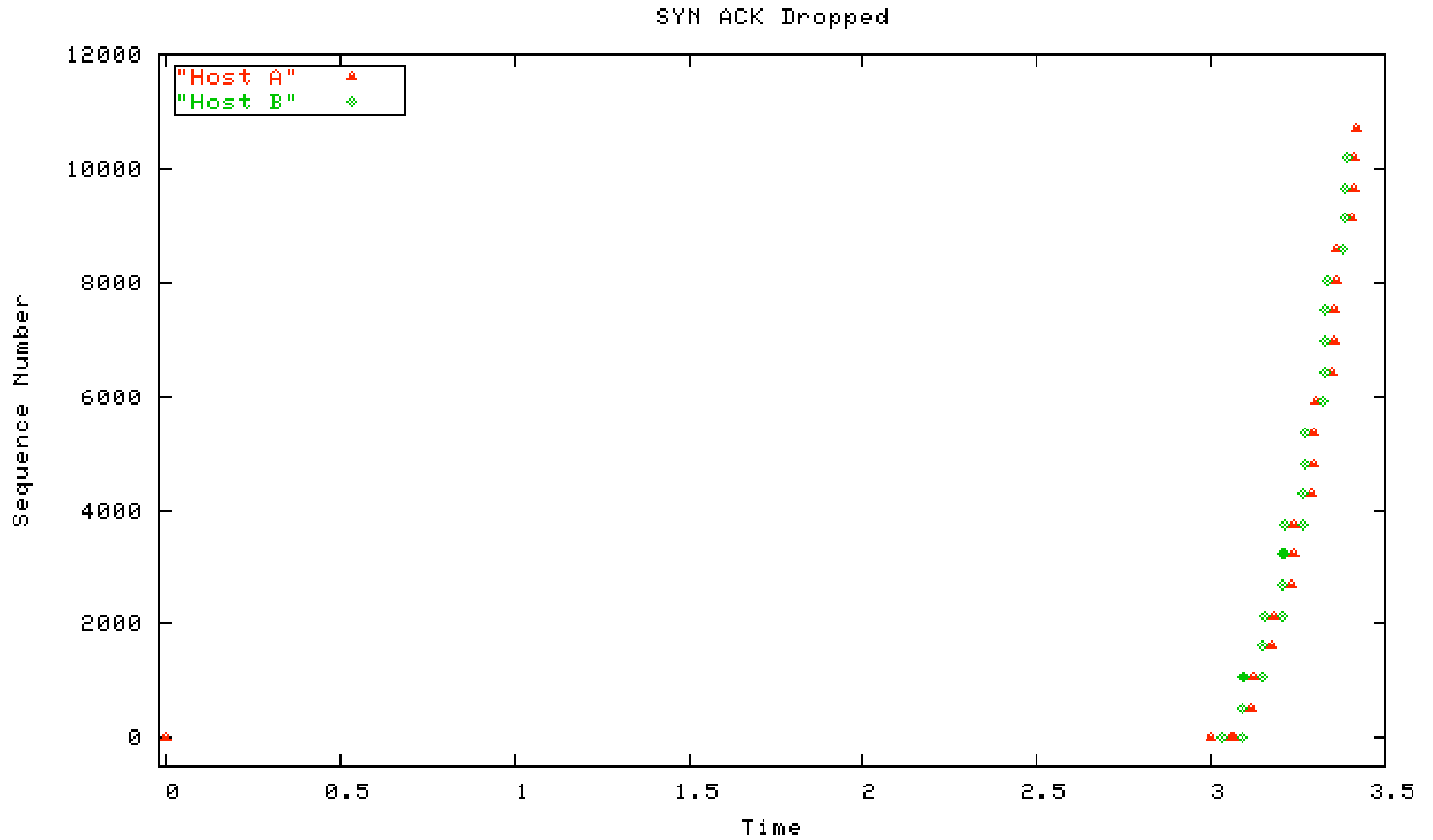
If TCP's response to a dropped SYN/ACK packet a congestion control response? Or is this a special case, allowing a new response?

No Congestion:

No Congestion

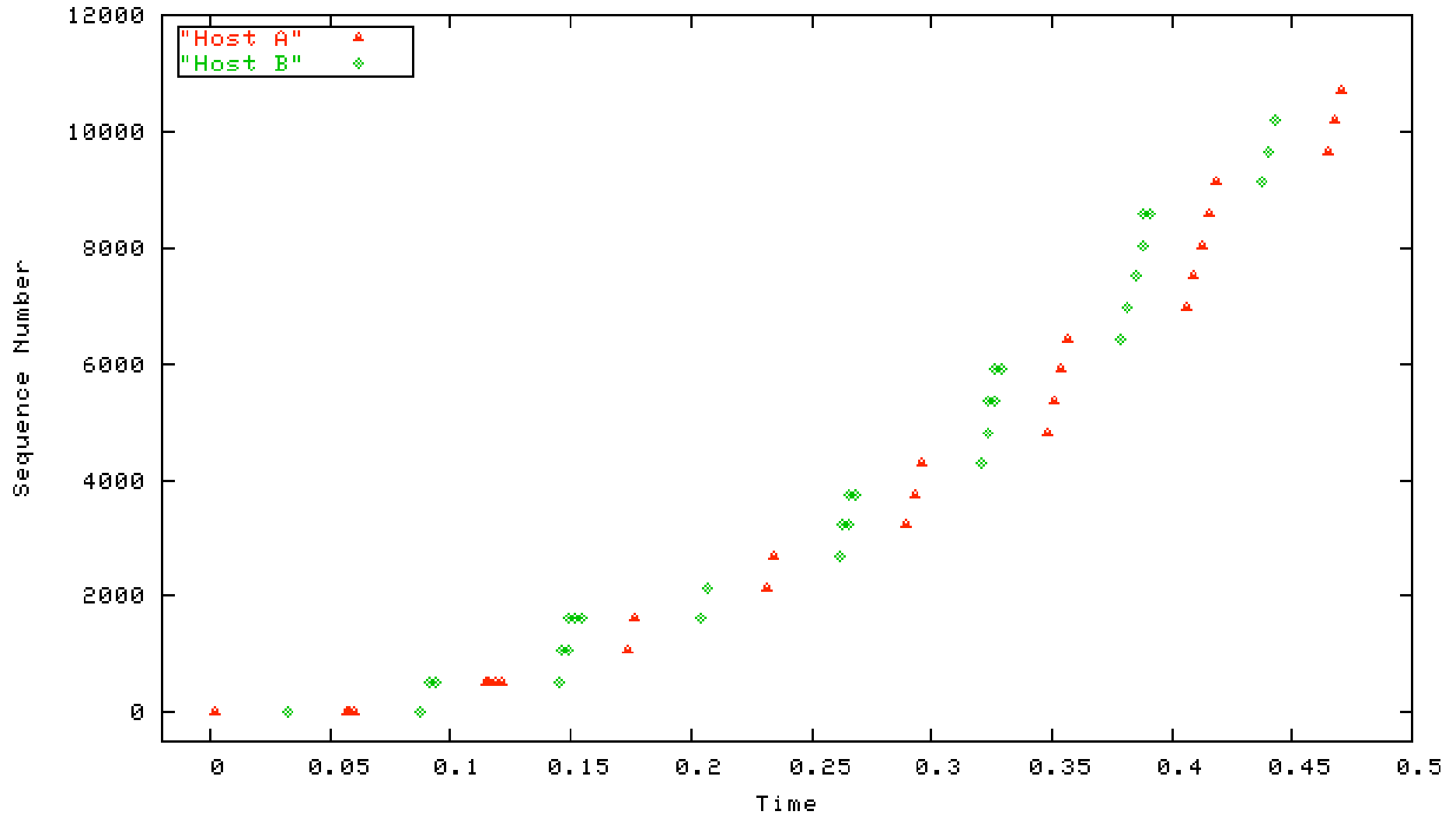


SYN/ACK Dropped:

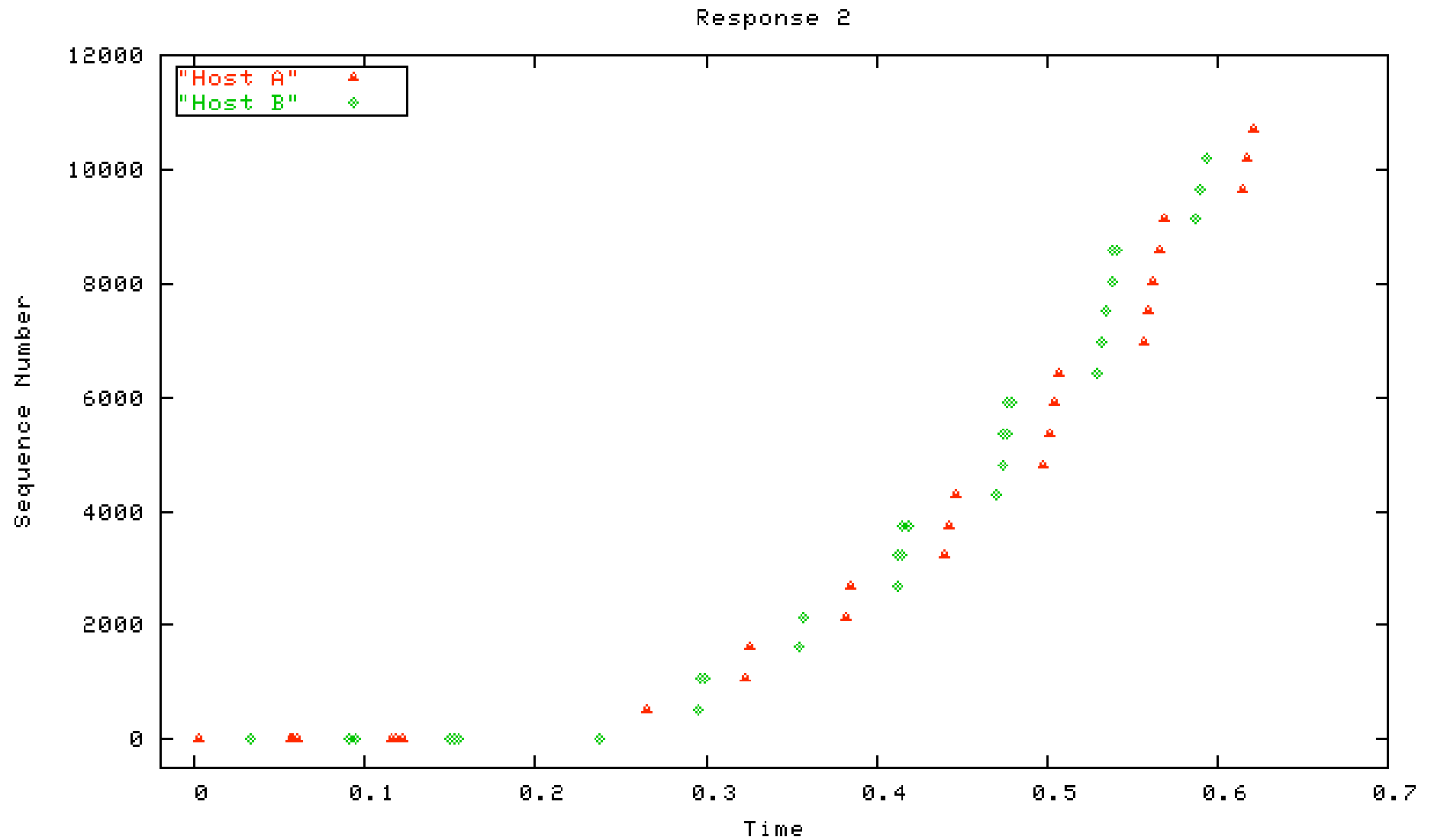


SYN/ACK Marked, Response #1:

Response 1



SYN/ACK Marked, Response #2:



The TODO List:

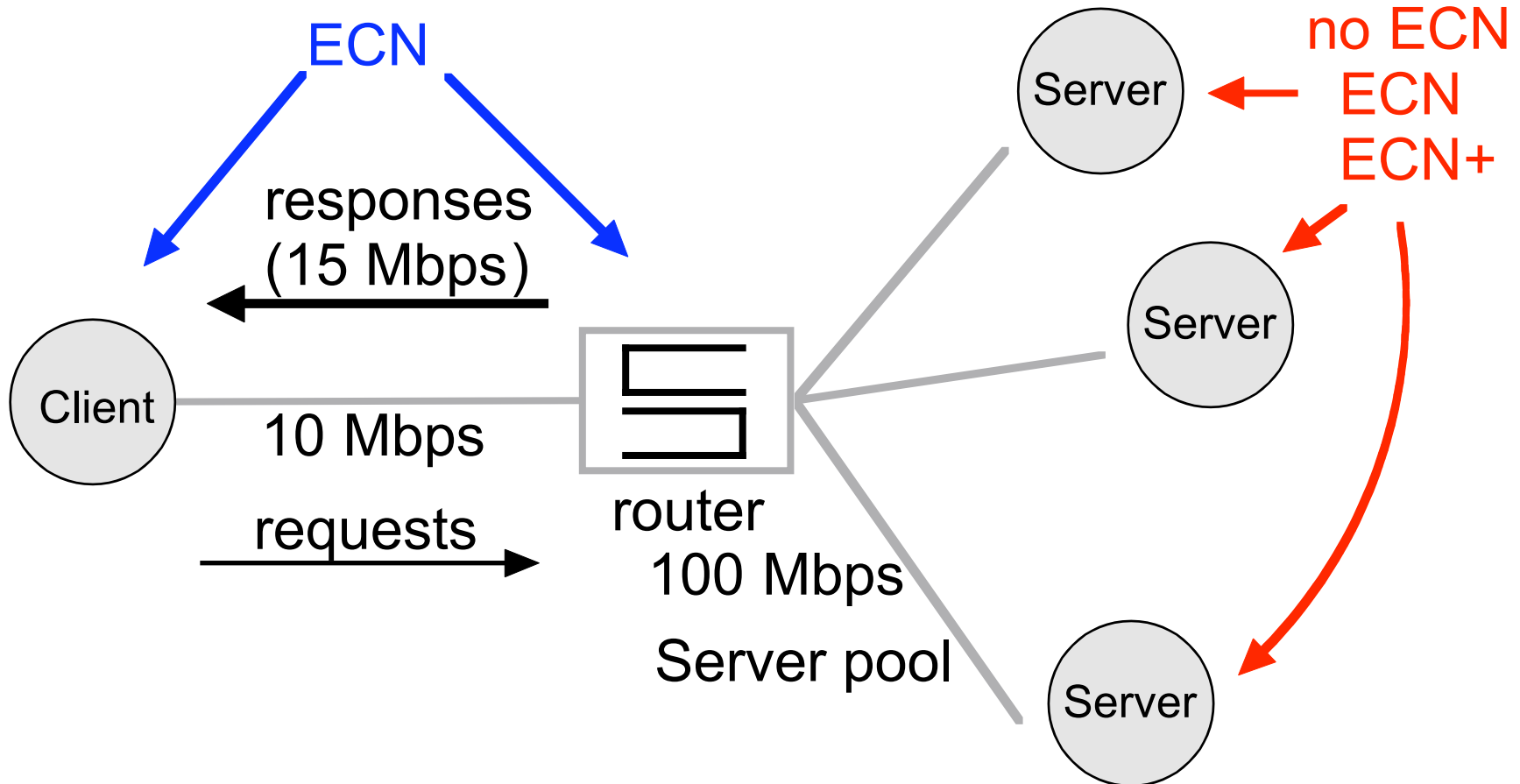
- Converge on the [response to a marked SYN/ACK packet](#).
- Look at the costs of adding ECN-Capability in a [worst-case scenario](#). (From feedback from Mark Allman and Janardhan Iyengar.)
- Find out how [current TCP implementations](#) respond when receiving a SYN/ACK packet that has been ECN-marked?

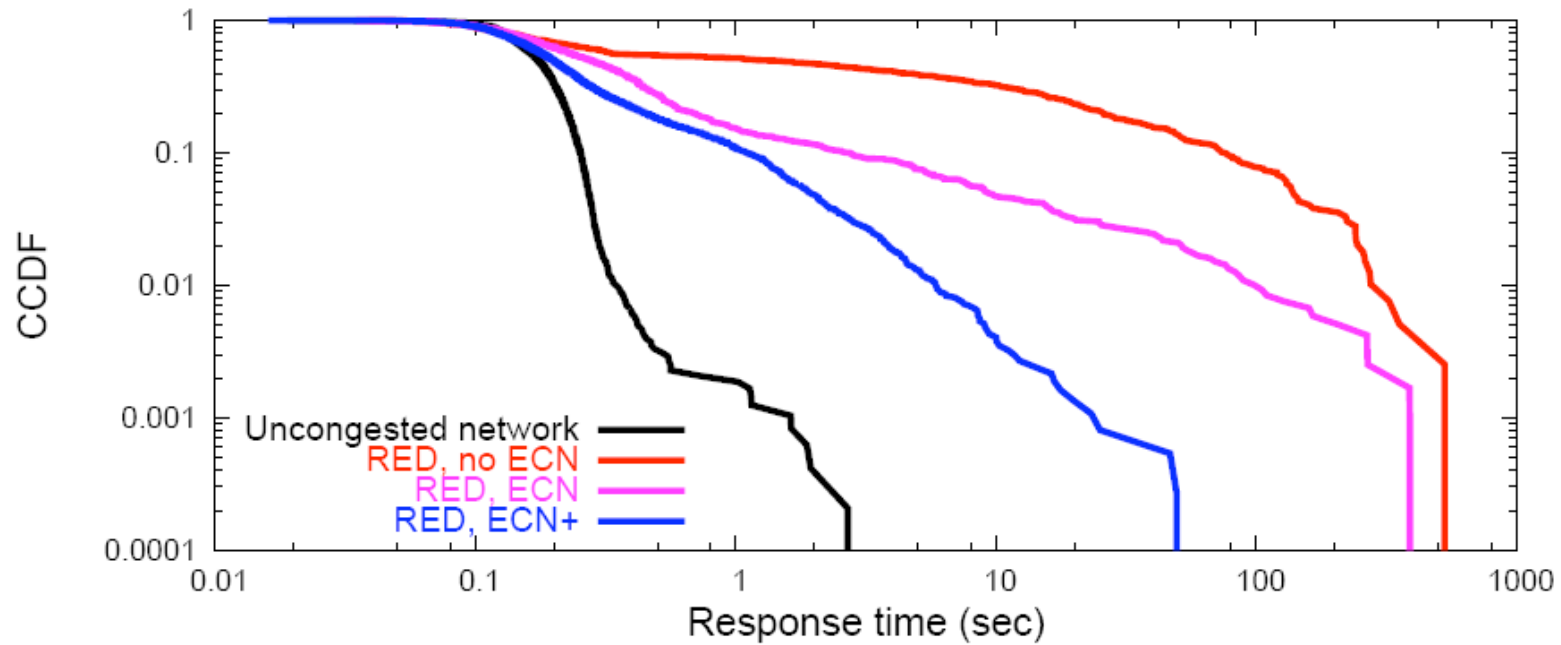
Viewgraphs from last IETF:

Testbed Experiment:

- From Aleksandar's SIGCOMM 2005 paper on "The Power of Explicit Congestion Notification".

Testbed Experiments





	Average Response Time	Throughput (% of capacity)
RED, no ECN	26 sec	44%
RED, ECN	4.5 sec	56%
RED, ECN+	0.5 sec	99%

Reasonable performance despite huge congestion



Details of testbed experiment:

- 15 Mbps arrival rate, 10 Mbps service rate.
- Very short transfers.